REQUEST FOR PROPOSAL

The California Governor’s Office of Emergency Services (Cal OES), Homeland Security and Grants Processing Branch, is soliciting proposals for the following program:

HOMELAND SECURITY GRANT PROGRAM (HSGP)
FOR FEDERALLY-RECOGNIZED TRIBES IN CALIFORNIA
Release Date: February 2, 2022

This Request for Proposal (RFP) provides detailed information and forms necessary to prepare a proposal for Cal OES grant funds.

PROGRAM SYNOPSIS

Program Description:
The purpose of this HSGP for Federally-Recognized tribes in California (FY 2020 Tribal HSGP) is to support building or sustaining security enhancement projects that fall within one of the Cal OES HSGP Investment Justifications. Statewide preparedness activities must address high-priority preparedness gaps across one or more of the 32 core capabilities, in addition to requiring a direct nexus to the Prevention, Protection, Mitigation, Response, or Recovery from acts of terrorism.

Eligibility:
Restricted to federally-recognized tribes in California.

Grant Subaward Period of Performance (POP):
May 1, 2022 – April 30, 2023

Total Available Funding:
$650,000

Submission Deadline:
The proposal package must be received electronically, no later than 5:00 PM Monday, March 21, 2022.
# HOMELAND SECURITY GRANT PROGRAM
FOR FEDERALLY-RECOGNIZED TRIBES IN CALIFORNIA

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A. PROGRAM OVERVIEW

Each year, the U.S. Department of Homeland Security (DHS)/Federal Emergency Management Agency (FEMA) announces a Notice of Funding Opportunity (NOFO) for the Homeland Security Grant Program (HSGP). The intent of the Program is to support state, local, and tribal efforts to prevent acts of terrorism and other catastrophic events and to prepare the nation for the threats and hazards that pose the greatest risk to the security of the United States.

HSGP provides funding to implement investments that enhance terrorism preparedness and serve to build, sustain, and deliver the 32 core capabilities across the five mission areas of Prevention, Protection, Mitigation, Response, and Recovery essential to achieving the National Preparedness Goal of a secure and resilient nation. The building, sustainment, and delivery of these core capabilities require the combined effort of the whole community, inclusive of children, individuals with disabilities and others with access and functional needs, diverse communities, and people with limited English proficiency. A list of the Mission Areas and Core Capabilities may be found here.

B. PROJECT OBJECTIVES

The purpose of this funding opportunity is to support building or sustaining security enhancement projects for federally-recognized tribes that fall within one of the Cal OES HSGP Investment Justifications (IJs). The whole community approach is inclusive of local units of government, tribes, and nonprofit organizations, including partners at the state level. Preparedness activities must address high-priority preparedness gaps across one or more of the 32 core capabilities. All proposed activities MUST have a direct nexus to the Prevention, Protection, Mitigation, Response, or Recovery from acts of terrorism. Based on the national risk profile for FY 2020, Applicants should consider proposing projects that fall in the national priority areas. Proposed projects should be shovel-ready and must support one of the IJs listed below:
IJ #1: Enhance Information and Intelligence Sharing and Cooperation with Federal Agencies, including DHS (National Priority)

IJ #2: Enhance the Protection of Soft Targets/Crowded Places (including election security) (National Priority)

IJ #3: Enhance Cybersecurity (including election security) (National Priority)

IJ #4: Address Emergent Threats (National Priority)

IJ #5: Enhance Medical and Public Health Preparedness

IJ #6: Strengthen Emergency Communications Capabilities Through Planning, Governance, Technology, and Equipment

IJ #7: Enhance Community Resilience, Including Partnerships with Volunteers and Community-Based Organizations and Programs

IJ #8: Strengthen Information Sharing and Collaboration (non-Fusion Center)

IJ #9: Enhance Multi-Jurisdictional/Inter-Jurisdictional All Hazards Incident Planning, Response & Recovery Capabilities

IJ #10: Protect Critical Infrastructure and Key Resources (includes Food and Agriculture)

C. AWARD & ELIGIBILITY INFORMATION

Eligible Applicants (Subrecipients): Federally-Recognized tribes in California

Total Amount Available for Solicitation: $650,000

Period of Performance: May 1, 2022 – April 30, 2023

Cost Share or Match Requirement: Cost Share or Match NOT Required

D. CONTACT INFORMATION

Questions concerning this RFP, the proposal process, or programmatic issues must be submitted via e-mail to:

Internal Projects Unit
InternalProjects@caloes.ca.gov

Cal OES staff cannot assist the Applicant with the actual preparation of their proposal. During the period of time between the publication date of the RFP and
the deadline for proposal submissions, Cal OES can only respond to technical questions about the RFP.

E. PROJECT ACTIVITY GUIDELINES

Proposals will be considered by Cal OES for eligibility based on the scoring criteria identified in Part III. All projects must have a clear and direct nexus to the Prevention, Protection, Mitigation, Response, or Recovery of a terrorism event and meet the criteria listed in the California Supplement to the federal NOFO. Projects ineligible under HSGP guidelines will not be funded. Additional information on allowable activities may be found on FEMA’s Homeland Security Grant Program web page.

FEMA has identified the allowable categories of cost under the HSGP as Planning, Organization, Equipment, Training, and Exercise. The following are brief descriptions of examples of cost associated with each of these categories:

Planning
HSGP funds may be used for a range of preparedness and planning activities, provided a direct nexus to terrorism exists. Allowable planning activities are associated with the development, review, and revision of a Threat and Hazard Identification and Risk Assessment, continuity of operations plan, and other planning activities that support the National Preparedness Goal, placing an emphasis on updating and maintaining a current Emergency Operations Plan that conforms to the guidelines outlined in Comprehensive Preparedness Guide (CPG) 101 v2.0. Planning activities generally result in a final, tangible end product.

Organization
Organizational activities are generally associated with specific personnel, groups or teams, or an overall organizational structure, necessary to perform assigned missions and tasks to build or sustain capabilities, provide operational support, or to implement programs with a nexus to terrorism.

Equipment
Unless otherwise stated, equipment must meet all mandatory regulatory and/or DHS-adopted standards to be eligible for purchase using these funds. Equipment being purchased must be found in the Authorized Equipment List (AEL). Additional approvals may be required for specific allowable equipment items prior to procurement. In addition, Applicants must obtain and maintain all necessary certifications and licenses for the requested equipment. All equipment must be received no later than the end of the Grant Subaward period of performance (POP).
Several categories of equipment continue to be prohibited under HSGP:

- Weapons and weapons accessories, including ammunition
- Weaponized aircraft, vessels, and vehicles of any kind
- Grenade launchers
- Bayonets

**Training**

Allowable training-related costs under HSGP include the establishment, support, conduct, and attendance of training specifically identified under HSGP and/or in conjunction with emergency preparedness training. Training conducted using HSGP funds should address a performance gap identified in a Training and Exercise Plan or other assessment and contribute to building a capability. All training courses must receive a Training Feedback number from Cal OES Training & Exercise prior to the start of the course. To request a Training Feedback number, please download and complete the [HSG Funds Tracking Number Request Form](mailto:training@caloes.ca.gov) and forward the completed request to training@caloes.ca.gov.

**Exercise**

Exercises conducted with HSGP funding should be managed and conducted consistent with the [Homeland Security Exercise and Evaluation Program](https://www.fema.gov/hseep) (HSEEP). HSEEP provides guidance for exercise design, development, conduct, evaluation, and improvement planning. Exercises are generally discussion-based, or operations-based, depending on complexity. The chart below will help provide context for each type of exercise. Due to the deployment of resources or personnel, all field-based (also known as operations-based) exercises that will be performed outside a classroom setting involving “boots on the ground” must be approved by FEMA and Cal OES through the Environmental Planning and Historic Preservation (EHP) process, prior to the start of the exercise.

<table>
<thead>
<tr>
<th>Discussion-Based Exercise</th>
<th>Operations-Based Exercise</th>
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<tbody>
<tr>
<td>- Seminar</td>
<td>Workshop</td>
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<tr>
<td>- Led by facilitator/presenter</td>
<td>- Involve deployment of resources/personnel</td>
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<tr>
<td>- Familiarize participants with plans, policies, agreements, and procedures</td>
<td>- Validates plans, policies, agreements, and procedures</td>
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<td>- Focus on action-oriented activities</td>
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Unauthorized exercise-related costs include:

- Reimbursement for the maintenance and/or wear and tear costs of general use vehicles (e.g., construction vehicles), medical supplies, and emergency response apparatus (e.g., fire trucks, ambulances).
- Equipment that is purchased for permanent installation and/or use, beyond the scope of the conclusion of the exercise (e.g., electronic messaging signs).
F. SPECIAL CONSIDERATIONS

Environmental Planning and Historic Preservation (EHP)
DHS/FEMA is required to consider the effects of its actions on environmental, historic, or cultural resources to ensure all activities and programs funded by the agency, including grant-funded projects, comply with federal EHP regulations, laws, and Executive Orders, as applicable. As a recipient of DHS/FEMA funding, these requirements are assumed by Cal OES and Subrecipients of Cal OES. Proposed projects that have the potential to impact the environment, including, but not limited to, the construction of communication towers, modification or renovation of existing buildings, structures and facilities, or new construction, including replacement of facilities, must participate in the DHS/FEMA EHP review process.

The EHP review process involves the submission of a detailed project description along with supporting documentation, so that DHS/FEMA may determine whether the proposed project has the potential to impact environmental resources or historic or cultural properties. In some cases, DHS/FEMA is also required to consult with other regulatory agencies and the public in order to complete the review process. The EHP review process must be completed before funds are released to carry out the proposed project; otherwise, DHS/FEMA and Cal OES may not be able to fund the project due to non-compliance with EHP laws, Executive Orders, regulations and policies.

Examples of activities requiring EHP review include field-based training, full-scale exercises, and installation of select equipment, such as communications towers and physical security enhancement equipment. If the project could result in adverse impacts to those resources, it may be necessary to change the scope of the project to avoid those impacts or to incorporate mitigation measures to minimize the impacts to those resources. The EHP Screening Form may be found here.

Emergency Communications Project Requirements
HSGP funds used to support IJ #6 – Strengthen Emergency Communications Capabilities through Planning, Governance, Technology, and Equipment must comply with the SAFECOM Guidance on Emergency Communications Grants.

Projects supporting this IJ must also align with the California Statewide Communication Interoperability Plan (CalSCIP). Alignment to the CalSCIP may be accomplished by identifying the project’s link to a CalSCIP Goal, found in the Goals, Initiatives & Benefits Section of the CalSCIP.
Specialized Equipment - Small Unmanned Aircraft Systems, Aircraft, Watercraft
While Executive Order (EO) 13809 Restoring State, Tribal, and Local Law Enforcement’s Access to Life-Saving Equipment and Resources and the subsequent DHS/FEMA Information Bulletin (IB) #426 rescinded controlled equipment requirements implemented under EO 13688 Federal Support for Local Law Enforcement Equipment Acquisition, several controls continue to be in place for select equipment items, such as small unmanned aircraft systems, manned aircraft, and watercraft.

These categories of equipment have significant utility for state, local, and tribal grant Subrecipients. However, the nature of the equipment and the potential impact on communities will require additional justification and approvals before acquisition. Please refer to IB #426 and the AEL for additional information regarding these items.

System, Small Unmanned Aircraft (03OE-07-SUAS)
Aircraft (18AC-00-ACFT)
Watercraft (17WC-00-BOAT)

Reporting - Biannual Strategy Implementation Report (BSIR)
Subrecipients of HSGP funding are responsible for completing and submitting BSIRs through the Grants Reporting Tool (GRT). The BSIR is generally due within 30 days after the end of the reporting period: July 30 for the reporting period of January 1 through June 30 (summer BSIR report); and January 30 for the reporting period of July 1 through December 31 (winter BSIR report). All required attributes of each project must be included. Updated obligations, expenditures, and significant developments must be provided within the BSIR to show progress of implementation for every project, as well as how expenditures support Planning, Organization, Equipment, Training, and Exercise.

Nationwide Cybersecurity Review (NCSR)
Subrecipients at all tiers with FY20 State Homeland Security Program and Urban Area Security Initiative funding are required to complete the NCSR. This is a no cost, anonymous, annual self-assessment designed to measure gaps and capabilities of state, local, tribal, territorial, nonprofit, and private sector agencies’ cybersecurity programs. The assessment takes approximately 2-3 hours to complete by the Chief Information Officer (CIO), Chief Information Security Officer (CISO), or if there is no CIO or CISO, the most senior Cybersecurity professional. The NCSR is generally open from October through December annually and requires a registration process prior to accessing the portal to complete the assessment.

Each entity is responsible for ensuring the completion of their own survey, including any surveys completed by any Subrecipients. There are several
categories of questions to be answered. If there are different sources responsible for different categories, the survey questions should be answered by the responsible party. If it isn’t possible for two or more entities to complete the assessment, it is recommended the assessment be completed at the higher jurisdictional level. Deadlines to submit the NCSR confirmation of completion to Cal OES will be provided at a future date, generally at the end of the calendar year. Technical questions regarding the NCSR may be directed to the NCSR team at NCSR@cisecurity.org, or by phone at (518) 516-6154.

**Sustainability**
Grant funds are intended to supplement existing fiscal resources and are not guaranteed long-term sustainability solutions. Projects funded under this grant opportunity are expected to be reasonably sustained after the end of the POP without the expectation to receive future grant funds.

**Changes to Scope of Work**
Subrecipients are selected through a competitive proposal process. Projects are rated and ranked based on the proposal submitted. Therefore, any changes to the scope of work are not permitted. Each Applicant is expected to thoroughly plan out the entire process of each proposed project, from project conception to completion, including potential reviews for EHP and equipment that need additional approvals. Please ensure the projects being proposed are well-planned and well-detailed. Funds remaining at the end of the POP will be disencumbered and returned to Cal OES.

**Extension Requests**
Subrecipients are expected to complete all grant-funded activity by the end of the POP. Any costs incurred outside of the POP will be unallowable under the Grant Subaward. Extensions to the POP identified in the subaward will be extremely limited and will only be considered through formal, written requests with specific, reasonable, and compelling justifications that warrant an extension.
A. PROPOSAL DUE DATE AND SUBMISSION INSTRUCTIONS

The Notice of Interest (NOI), Subrecipient Grants Management Assessment Form, and Standard 204 form must be submitted to Cal OES no later than 5:00 pm Monday, March 21, 2022. Only electronic submissions will be accepted. Proposals submitted after this time/date will not be considered. Applicants may e-mail their proposals to:

Internal Projects Unit
InternalProjects@caloes.ca.gov

Please note: Applicants will receive verification of proposal submitted. If a verification notice is not received by March 28, 2022, it is the responsibility of the Applicant to reach out to Cal OES at InternalProjects@caloes.ca.gov and show proof that their application was submitted prior to the deadline.

B. PROPOSAL COMPONENTS

Your proposal MUST include the following documents in order to be considered for funding:

1. NOI
   - Describe the proposed project(s).
   - Describe the capability gap(s) that identified project needs. Identify the IJ the project(s) link to.
   - Describe how the project(s) builds or sustains one or more of the 32 core capabilities.
   - Explain each project’s nexus to terrorism.
   - Describe how the project(s) will be completed within the POP. Please provide a timeline with key milestones.
   - Provide an itemized budget of all allowable costs. If proposal includes equipment, please provide a list of equipment to be purchased, including quantities, costs, AEL numbers, and AEL titles.
2. Subrecipient Grants Management Assessment Form

3. Payee Data Record (STD 204) Form
   Each Applicant must complete and submit an updated STD 204 Form, which lists the correct name, as reported on the payee’s Internal Revenue Service (IRS) tax return, and must be in good standing with federal and state requirements. This form is used by Cal OES to track each Subrecipient and its obligations.

It is very important to note that Cal OES staff cannot assist in the creation of the document. Cal OES staff may only provide clarification on the questions presented in the NOI. This NOI document must be fully completed at the time of submission. Incomplete NOIs will not be accepted.

Applicants should check the box on the bottom of the last page of the Notice of Interest, if they are willing to accept less than the full amount requested based on scoring and fund availability. If the box is not checked, partial funding may not be given in the event that full funding is not available for the project. Applicants who are willing to accept less than the requested amount must also identify the minimum amount in grant funding they would be willing to accept.

*Grant applications are subject to the California Public Records Act, Government Code Section 6250 et seq. Do not put any personally identifiable information or private information on this application. If you believe that any of the information you are putting on this application is exempt from the Public Records Act, please attach a separate statement that indicates what portions of the application and the basis for the exemption. Your statement that the information is not subject to the Public Records Act will not guarantee that the information will not be disclosed.

Unique Entity Identifier (Unique Entity ID)

Applicants will need to provide a Unique Entity ID. Applicants registered in the federal System for Award Management (SAM) have already been assigned a Unique Entity ID and it can be found by logging into SAM.gov. Applicants who do not currently have a Data Universal Numbering System (DUNS) number will need to register at SAM.gov to obtain a Unique Entity ID.
C. RESOURCES (hyperlinks)

- Homeland Security Grant Program-Notice of Funding Opportunity
- FEMA Preparedness Grants Manual
- HSGP California Supplement to the Federal NOFO
- Subrecipient Grants Management Assessment Form
- Nationwide Cybersecurity Review (Registration)
- Nationwide Cybersecurity Review (Portal)
- Grants Reporting Tool (to complete the BSIR)
- DHS/FEMA Information Bulletins
- List of Mission Areas and Core Capabilities
- SAFECOM
- California Statewide Communications Interoperability Plan (SCIP)
- DUNS# (grants.gov)
- Unique Entity ID
- PAYDEE DATA RECORD (STD 204) Form
HOMELAND SECURITY GRANT PROGRAM
FOR FEDERALLY-RECOGNIZED TRIBES IN CALIFORNIA

PART III – PROPOSAL EVALUATION

A. EVALUATION CRITERIA

Funding will be awarded to the highest-ranked proposals. Evaluation of the proposals is based on five scored criteria totaling 60 points. **Projects that do not have a clear, direct nexus to terrorism or cannot be completed within the POP will be disqualified.**

Applications will be scored as follows:

<table>
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<tr>
<th>Evaluation Criteria</th>
<th>Maximum Value</th>
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<tr>
<td>• Describe the proposed project(s).</td>
<td>10 Points</td>
</tr>
<tr>
<td>• Describe the capability gap(s) that identified project needs.</td>
<td>10 Points</td>
</tr>
<tr>
<td>Identify the IJ the project(s) link to.</td>
<td></td>
</tr>
<tr>
<td>• Describe how the project(s) builds or sustains one or more of the 32 core capabilities.</td>
<td>10 Points</td>
</tr>
<tr>
<td>• Explain each project’s nexus to terrorism.</td>
<td>10 Points</td>
</tr>
<tr>
<td>• Describe how the project(s) will be completed within the POP.</td>
<td>10 Points</td>
</tr>
<tr>
<td>Please provide a timeline with key milestones.</td>
<td></td>
</tr>
<tr>
<td>• Provide an itemized budget of all allowable costs. If proposal includes equipment, please provide a list of equipment to be purchased, including quantities, costs, AEL numbers, and AEL titles.</td>
<td>10 Points</td>
</tr>
</tbody>
</table>

Points may be deducted during the rating process based on negative past performance under a prior HSGP award (i.e., extension requested, funds disencumbered, failure to submit required documents and reports).
CAL OES will review and approve projects which meet the criteria based in program guidance and the scoring system established for this Program. All projects must be completed within the Subrecipient POP. All applicable HSGP guidelines and policies remain in effect for the grant.

B. EVALUATION CRITERIA DEFINED

Describe the proposed project(s).
Project Description: The Applicant should thoroughly describe the project(s) being proposed, outlining the various elements or stages involved to implement and complete the project, including the end-goal of the project. If the proposed project is part of a multi-phased project, the totality of the multi-phased project should be described, along with how and where the proposed project fits into the grand scheme.

Describe the capability gap(s) that identified project needs.
The proposed project(s) must seek to address a capability gap in one or more of the 32 core capabilities. The Applicant should identify how the capability gap was identified (e.g., exercise after action report, risk assessment, etc.) and how that gap translates to increased vulnerability or greater consequence from a potential terrorist incident.

Identify the IJ(s) the project(s) link to?
The Applicant should identify which IJ the project supports (IJs are listed in Part I of this RFP and on the Notice of Interest).

Describe how the project(s) builds or sustains one or more of the 32 core capabilities.
Proposed projects must build new capabilities or help sustain existing capabilities. The Applicant should describe how the identified capability or capabilities are being improved or sustained to better prepare for an act of terrorism.

Explain the project’s nexus to terrorism.
The HSGP requires all projects to have a clear nexus to terrorism. Proposed projects MUST have a direct nexus to the prevention, protection, mitigation, response, or recovery from an act of terrorism. Weather-related emergency management projects without a clear nexus to terrorism will NOT be considered, such as projects that solely address climate change, earthquakes, tsunamis, and drought. Projects addressing general criminal activity without a direct nexus to terrorism, will also not be considered. General purpose equipment are allowed under HSGP if it aligns to and supports one or more core capabilities identified in the Goal and has a
nexus to terrorism preparedness. Projects lacking a clear nexus to terrorism will be disqualified.

**Describe how the project(s) will be completed within the POP. Please provide a timeline with key milestones.**

Proposed projects must be completed within the Grant Subaward POP. If equipment is being procured, all items must be received no later than the end of the Grant Subaward POP. A timeline with milestones should provide a clear outline of activities that lead up to the completion of the project.

Projects that have a potential to impact environmental, historic, or cultural resources must participate in the EHP review process, including projects involving ground disturbance, equipment installation, sonar, and communications towers. The EHP review process may take as little as one month to as much as one year to complete, depending on the location and complexity of the project, and should be incorporated into the timeline, where applicable.

Projects should also consider other processes that could directly affect the timeline of the project, including the ability to obtain all necessary approvals related to the project, procurement process, and availability of resources.

**Provide an itemized budget of all allowable costs. If the proposal includes equipment, please provide a list of equipment to be purchased, including quantities, costs, AEL numbers, and AEL titles.**

Applicants should provide cost-effective solutions to a capability gap. Providing an analysis of alternatives for achieving the same results, and concluding the provided project is more cost-effective or if it is the only solution, is encouraged. Costs must be reasonable and/or be supported with price quotes, examples of similar projects from other agencies, hyperlinks to product sites, etc.

The budget should include detailed costs, outlined to provide line-item detail, and add up to the total amount of funding being requested in the proposal.

**NOTE:** The Cal OES Subrecipient Grants Management Assessment Form will not be evaluated for content or experience. However, points may be deducted if this form is excluded from the proposal package.
C. FUNDING RECOMMENDATION

Final funding decisions are made by the Director of Cal OES. Funding recommendations are based on the following:

- The ranked score of the application.
- Consideration of funding priorities.
- Prior negative administrative and programmatic performance, if applicable.

Projects previously funded by Cal OES may be reviewed for past compliance, including fiscal management, progress and annual reports, audit reports, and other relevant documentation or information. This review may result in one or more of the following actions:

- The project may not be selected for funding.
- The amount of funding may be reduced.
- Grant Subaward Special Conditions may be included in the Grant Subaward.

D. NOTIFICATION PROCESS

Applicants will be notified of the results of the rating process in writing. Applicants not selected for funding will receive a notification letter containing their average score and information on the appeal process.

E. APPEAL OF DECISION

Applicants are entitled to appeal the denial of funding pursuant to the Cal OES Appeal Guidelines for Competitive Funding Decisions. A copy of these guidelines will be included with the denial of funding letter.

F. POST AWARD REQUIREMENTS

Applicants identified for funding must submit all documentation listed in the FY 2020 HSGP Application Checklist provided in the Fiscal Year 2020 Homeland Security Grant Program California Supplement to the Federal Notice of Funding Opportunity. All original signed forms must be submitted.
G. ALLOCATION CONTINGENT ON RECEIPT OF FEDERAL AWARD

Cal OES does not have the authority to disburse federal funds until the federal Grant Award is received. Expenditures incurred prior to authorization are made at the Subrecipient’s own risk and may be disallowed. Cal OES employees are not able to authorize an Applicant to incur expenses or financial obligations prior to the execution of a Grant Subaward. However, once the Grant Subaward is finalized, the Subrecipient may claim reimbursement for expenses incurred on, or subsequent to, the start of the Grant Subaward POP.

If, during the term of the Grant Subaward, federal funds appropriated for the purposes of the Grant Subaward are reduced or eliminated by the United States Government, or any other action that impacts federal funds received by Cal OES, Cal OES may immediately terminate or reduce the Grant Subaward by written notice to the Subrecipient. However, no such termination or reduction shall apply to allowable costs already incurred by the Subrecipient to the extent federal funds are available for payment of such costs.

Cal OES Grant Subawards are subject to applicable restrictions, limitations, or conditions enacted by the California Legislature and/or the United States Government, subsequent to the execution of the Grant Subaward.