



THE HOMELAND SECURITY GRANT PROGRAM

October 2018



Cal OES
GOVERNOR'S OFFICE
OF EMERGENCY SERVICES



What's on the Menu?

1. Linking Projects to Investment Justifications
 - Fusion Center Requirements
 - Emergency Communications
2. Operational Overtime
3. FY 2018 HSGP Project Review
4. UASI Updates
5. FY 2019 HSGP Advance Applications



FY18 & PROPOSED FY19 HSGP INVESTMENT JUSTIFICATIONS	CA HOMELAND SECURITY STRATEGY GOALS
1. Strengthen Capabilities of the State Threat Assessment System	1. Enhance Information Collection, Analysis, and Sharing, in Support of Public Safety Operations across California
8. Strengthen Information Sharing and Collaboration	
2. Protect Critical Infrastructure and Key Resources	2. Protect Critical Infrastructure and Key Resources from All Threats and Hazards
	9. Strengthen Food and Agriculture Preparedness
3. Enhance Cybersecurity	3. Strengthen Security and Preparedness across Cyberspace
4. Strengthen Emergency Communications Capabilities Through Planning, Governance, Technology and Equipment	4. Strengthen Communications Capabilities Through Planning, Governance, Technology, and Equipment
5. Enhance Medical and Public Health Preparedness	7. Improve Medical and Health Capabilities
6. Preventing Violent Extremism Through Multi-Jurisdictional/Inter-Jurisdictional Collaboration and Coordination	10. Prevent Violent Extremism through Multi-Jurisdictional / Inter-Jurisdictional Collaboration and Coordination
7. Enhance Community Resilience, Including Partnerships With Volunteers and Community Based Organizations and Programs	5. Enhance Community Preparedness
9. Enhance Multi-Jurisdictional/Inter-Jurisdictional All-Hazards Incident Planning, Response & Recovery Capabilities	6. Enhance Multi-Jurisdictional / Inter-Jurisdictional All-Hazards Incident Catastrophic Planning, Response, and Recovery Capabilities
10. Homeland Security Exercise, Evaluation, and Training Programs	8. Enhance Incident Recovery Capabilities 11. Enhance Homeland Security Exercise, Evaluation, and Training Programs



IJ #1: Strengthen the Capabilities of the State Threat Assessment System

- Only projects with a direct nexus to the state's six fusion centers fall under this IJ. **STATE'S FUSION CENTER IJ**
 - Fusion center intelligence analyst salaries
 - Fusion center cybersecurity analyst salaries
 - Fusion center critical infrastructure protection analyst salaries



Fusion Center Requirements

- Fusion Center Projects must align to and reference performance areas of the annual Fusion Center Assessment
- Fusion Center Projects will be reviewed by DHS Office of Intelligence and Analysis
- Fusion Center Analysts must have qualifications that meet or exceed competencies identified in the Common Competencies for State, Local, and Tribal Intelligence Analysts.



Fusion Center Requirements

- Performance Measures (HSGP NOFO Appendix H)
- Cal OES Director's Letter, dated March 16, 2016



IJ #2: Protect Critical Infrastructure and Key Resources

- Site vulnerability and risk assessments
- Cameras, lighting, gates, bollards, fencing, etc. at critical infrastructure sites
- Special event security planning
- **Non-fusion center staff costs for critical infrastructure protection units/personnel**



IJ #3: Enhance Cybersecurity

- Information security systems
- Cyber information sharing systems unrelated to a fusion center
- Cyber incident response teams
- Software upgrades for information system and network security



IJ #4: Strengthen Emergency Communications Capabilities Through Planning, Governance, Technology, and Equipment

- Mobile/Portable Radios
- 911 Dispatch Systems
- Integrated Public Alert and Warning Systems
- Communication Towers
- Public Information Officer training



Emergency Communications

- Projects must be compliant with SAFECOM Guidance (updated annually)
- Emergency Communication Projects will be reviewed by:
 - Statewide Interoperability Coordinator (SWIC) at Cal OES; and
 - DHS Office of Emergency Communications
- Emergency Communications Guidance
 - FY 2018 HSGP NOFO - Appendix I
- Projects must align with the Statewide Communication Interoperability Plan (SCIP)



Emergency Communications

- Identify the SCIP Goal # within the Project Description
- Example:

Purchase (6) Dual-band handheld radios for the city Police Department to enhance interoperability with other public safety agencies (SCIP Goal #3)



California Statewide Communications Interoperability Plan (Cal-SCIP)

SAFECOM Category	Cal-SCIP Goal
Governance	<ol style="list-style-type: none"> 1. Streamline interoperability planning efforts 2. Review, update, ensure consistency, and distribute policies and procedures as necessary, to all levels and disciplines (including IT)
Technology	<ol style="list-style-type: none"> 3. Develop a common interoperability platform that leverages existing technology and infrastructure, and provides a migration toward emerging technologies* 4. Encourage collaboration between Operational Areas and provide opportunities to demonstrate innovative interoperability solutions 5. Leverage CASM and similar shared resources
Training & Exercises	<ol style="list-style-type: none"> 6. Develop a framework and regularly test interoperability equipment across all disciplines and encourage local adoption 7. Provide interoperable communications training opportunities using qualified instructors* 8. Establish a working group to oversee all-hazards communications unit certification
Outreach & Information Sharing	<ol style="list-style-type: none"> 9. Maintain and enhance outreach program to leverage interoperability-related activities, including social media* 10. Develop a mechanism for succession planning* 11. Promote CASM and similar shared resources
Life-Cycle Funding	<ol style="list-style-type: none"> 12. Continue to identify a sustainable funding mechanism to support the following priorities*: <ul style="list-style-type: none"> • Training • SWIC position • CalSIEC efforts • Planning Area support



IJ #5: Improve Medical and Health Capabilities

- Public health laboratories
- Public health surveillance and epidemiological investigation
- Medical surge
- Medical counter measures dispensing
- Medical supplies



IJ #6: Prevent Violent Extremism Through Multi-Jurisdictional/Inter-Jurisdictional Collaboration and Coordination

- Community-led efforts
- Aimed at improving coordination and collaboration among stakeholders
- To raise awareness
- For the indicators of homegrown violent extremism or domestic terrorism
- Through training and education initiatives, counter violent extremism messaging, building community trust, and strengthening intervention programs
- To mitigate the threat of violent extremism.



IJ #6: Prevent Violent Extremism Through Multi-Jurisdictional/Inter-Jurisdictional Collaboration and Coordination

- *Similar to Gang Prevention Programs, working with communities and schools to change the mindset of youth in the prevention and reduction of gang membership*
- Sovereign Citizens awareness training
- Staff related to PVE programs
- Management & Administrative Costs



IJ #7: Enhance Community Resilience, Including Partnerships with Volunteers and Community Based Organizations and Programs

- Community Emergency Response Team (CERT) programs
- Volunteer or donations management projects
- Volunteer Organization Active in Disasters (VOAD) programs
- Ready campaigns



IJ #8: Strengthen Information Sharing and Collaboration

- **Non-Fusion Center** related information sharing projects.
- Project areas covered here include any information sharing activities not covered by a fusion center:
 - COPLink for law enforcement agencies
 - Mobile Data Terminals
 - GeoTime Software



IJ #9: Enhance Multi-Jurisdictional/Inter-Jurisdictional All Hazards Incident Planning, Response, and Recovery Capabilities

- EOC upgrades or exercises
- Web EOC Projects
- Fire incident response
- Mass Evacuation
- HazMat Teams
- Fatality Management
- Catastrophic Planning



IJ #10: Homeland Security Exercise, Evaluation, and Training Programs

- This investment area is about training and exercise **infrastructure**, and not specific trainings and exercises.
- Projects include:
 - Training and Exercise Program staff.
 - Equipment for multi-purpose training and exercise facilities.
 - Multi-year training and exercise plan updates or conferences.



Operational Overtime

What is Op OT?

- Costs associated with increased security measures
 - Critical Infrastructure Sites
 - High Risk Location
 - Enhance public safety during mass gatherings/high profile events
 - Authorized DHS Categories
- **Must be approved in advance** by DHS/FEMA.
- Requests must be submitted to Cal OES at least 45* days prior to the event.

* Cal OES is reviewing this policy, and it is subject to change.



Operational Overtime

Allowable, if...

- costs are reasonable
- enhances capabilities for detecting, deterring, disrupting, and preventing acts of terrorism or other catastrophic events
- for increased protective security measures at critical infrastructure sites or other high-risk locations; and
- to enhance public safety during mass gatherings and high-profile events



Operational Overtime

And...

- Requests must clearly explain how activity meets one or more Authorized Op OT Categories (found in HSGP NOFO, Page 62)
- If funded with FY15-FY17 HSGP:
 - Must meet criteria for reprioritization of funding in IB 379



Operational Overtime

All requests must clearly justify:

- How the request meets the criteria of one of more of the Authorized Op OT Categories;
- Address the threat environment as it relates to the event or activity requiring Op OT support; and
- How the overtime activity is responsive to the threat



Operational Overtime

Reprioritization of FY15- FY17 funds must address the reprioritization requirements in IB 379 and include:

- Project budget and implementation timeline;
- Describe how the Op OT supports one or more core capability contained within the National Preparedness Goal (NPG);
- Describe how the Op OT and associated costs are in alignment with the programs' respective authorizing legislation (i.e., 9/11 Act; PRICE of Homeland Security Act);



Operational Overtime

Table 1: Authorized Operational Overtime Categories

	Category	Description
1	National Terrorism Advisory System (NTAS)	Security measures in response to an <u>increase in the threat level</u> under the NTAS to an “elevated” or “imminent” alert status. GPD Information Bulletin No. 367, <u><i>Impact of National Terrorism Advisory System on Homeland Security Grant Programs</i></u> , remains applicable; therefore, advance authorization from FEMA is not required. Refer to https://www.dhs.gov/topic/ntas for additional information on the NTAS.
2	National Security Special Event (NSSE)	Security measures for a <u>designated</u> NSSE. NSSEs are events of national or international significance deemed by DHS to be a potential target for terrorism or other criminal activity.



Operational Overtime

3	Special Event Assessment Rating (SEAR) Level 1 through Level 4 Events	<p>Security measures required for SEAR Level 1 through Level 4 events as designated by the Department of Homeland Security (DHS) and included in the DHS National Special Events List, as defined below:</p> <ul style="list-style-type: none">• SEAR 1: A significant event with national and/or international importance that may require extensive Federal interagency support;• SEAR 2: A significant event with national and/or international importance that may require some level of Federal interagency support.• SEAR 3: An event of national and/or international importance that requires only limited Federal support.• SEAR 4: An event with limited national importance that is managed at state and local level. <p>NOTE: In cases where a threat of terrorism can be associated with a SEAR Level 5 event, the event planners should coordinate with their state or territory Homeland Security Advisor to seek re-adjudication of the SEAR rating. Operational overtime for security measures associated with such events will be considered for approval by FEMA if re-adjudication results in a SEAR 1 through 4 rating.</p>
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Operational Overtime

4	States of Emergency	Declarations of states of emergency by the Governor <u>associated with a terrorism-related threat or incident</u> . This excludes Presidentially-declared major disasters or emergencies where federal funding support for the proposed grant-funded activity is made available through the FEMA Public Assistance program or other Federal disaster grants.
5	National Critical Infrastructure Prioritization Program (NCIPP)	Protection of Level 1 and Level 2 facilities identified through the Department of Homeland Security's NCIPP <u>based on a terrorism-related threat</u> to critical infrastructure.



Operational Overtime

6	Directed Transit Patrols	Targeted security patrols in airports and major transit hubs <u>based on a terrorism-related threat</u> to transportation systems.
7	Other Related Personnel Overtime Costs	Overtime costs may be authorized for personnel assigned to directly support any of the security activities relating to the categories above. Examples include firefighters and emergency medical services personnel; public works employees who may be responsible for installing protective barriers and fencing; public safety personnel assigned to assist with event access and crowd control; emergency communications specialists; fusion center analysts; National Guard; contract security services; etc.



Operational Overtime

8	Operational Support to a Federal Agency	<p>Overtime costs are allowable for personnel to participate in information, investigative, and intelligence sharing activities related to homeland security/terrorism preparedness and specifically requested by a federal agency. Allowable costs are limited to overtime associated with federally requested participation in eligible activities, including anti-terrorism task forces, Joint Terrorism Task Forces (JTTFs), Area Maritime Security Committees (as required by the Maritime Transportation Security Act of 2002), DHS Border Enforcement Security Task Forces, and Integrated Border Enforcement Teams. In addition, reimbursement for operational overtime law enforcement activities related to combating transnational crime organizations in support of efforts to enhance capabilities for detecting, deterring, disrupting, and preventing acts of terrorism is an allowable expense under SHSP and UASI on a case by case basis. Grant funding can only be used in proportion to the federal man-hour estimate, and only after funding for these activities from other federal sources (i.e., FBI JTTF payments to state and local agencies) has been exhausted.</p>
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Operational Overtime

How to Submit Requests for Op OT:

- Complete the Allowability Request form
- If funded with reprioritization \$\$ of prior HSGP
 - Include information on all items outlined in IB 379
- If funded with FY18 HSGP - Attach Allowability Request that
 - Links to the chart on page 63 of FY18 NOFO
 - How the request meets the criteria of one or more of the Authorized Op OT Categories;
 - Addresses the threat environment relative to event/activity requiring Op OT
 - Describes how the Op OT is responsive to the threat.



Operational Overtime

How to Submit Requests for Op OT:

- Submit to Program Rep \geq 45* days in advance
- Wait for DHS approval – may take more than 90 days
 - FEMA will consult/coordinate requests with DHS Office of Cyber & Infrastructure Analysis and/or the Office of Intelligence and Analysis
- Post-event OP OT will only be considered on a case-by-case basis when there are demonstrated exigent circumstances that prevented submission of the request in advance

* Cal OES is reviewing this policy, and it is subject to change.



Operational Overtime

“For Official Use Only”



If explaining the threat will require the sharing of classified information, the letter should state as such.



FY 2018 HSGP Project Review

- During Advance Application review process, Operational Area projects were reviewed to ensure:
 - Project descriptions and links to IJs were appropriate
 - Projects linked to appropriate core capability



FY 2018 HSGP Project Review

- Adjustments must be made prior to submission and approval of final application
- Spreadsheets reflecting Cal OES adjustments for each subrecipient will be distributed after the workshop



FY 2018 HSGP Project Review

- M&A and Indirect Costs
 - Advance Application **Management & Administration and Indirect Cost** projects were reported under multiple IJs
 - M&A costs skew level of funding effort under investments



FY 2018 HSGP Project Review

- M&A and Indirect Costs
 - For FY 2017 and forward, subrecipients will **report all M&A & Indirect costs under IJ #6**
 - This IJ has fewer projects and it is easier to extract the M&A costs to arrive at a total funding effort for the IJ
 - Adjustments to the IJ selected in Advance Applications for M&A projects must be made prior to submitting your final applications



UASI Updates

- Starting 2019, required to submit a THIRA using updated methodology (not all core capabilities required until FY 2019) every three years.
- The **State Preparedness Report** became the **Stakeholder Preparedness Review (SPR)**; submitted annually.
- Urban Areas must be able to demonstrate how investments support closing capability gaps or sustaining capabilities identified in the Urban Area THIRA & SPR.



UASI Updates

- Investments concerning communications must include the words “emergency communications” in the name to ease identification.
- UASI Final Applications to Cal OES, must:
 - Include the Urban Area footprint
 - Include the Roster of UAWG Members
 - Ensure projects tie to a State Investment



2019 Advance Application

- FMFW v1.19 available on the Cal OES website
- Subrecipients should begin planning now in order to meet Advance Application due date



How to Plan Above the Line – Below the Line

Projects we
*would like to
fund*



Projects we
will fund

Scalable
Project(s)



How to Plan Above the Line – Below the Line

Proj. #	Project Title	Project Description
001	Swift Water Rescue Team Support	Support for multi-agency swift water rescue response training and equipment to maintain safe response. Teams are adding new members requiring basic swift water rescue technical training and current members require recurring perishable skills training. Teams are also in the need of equipment to maintain response capability.
002	Emergency Operations Center Training	Provide training in Emergency Operations Center functions and response operations/protocols in order to ensure that all staff is capable and confident in their respective roles while in the Emergency Operations Center.
003	Purchase Radios Scalable	Purchase portable radios to replace old and outdated radios or so supplement existing radio stock. Radio accessories
004	Multi Discipline Rescue Response Vehicle	A Multi-disciplinary rescue vehicle equipped to transport the marine unit equipment such as the Zodiac boat and dive unit equipment throughout the county. Additionally the vehicle will be equipped with communication gear to be used in conjunction with the United States Coast Guard, National Park Service, and other regional Marine Units, and local Fire and Law agencies. This will be a regional asset.
Below the line ↓		
005	Community Shield Exercise	Build on last year's exercise to test the coordination of SWAT, TCAT, Fire, Hazmat, Public Health resources and Emergency Management in response to a terrorist event.
006	Large Animal Disaster Training	This project supports additional training of large animal response for Disaster Animal Response Team



2019 Advance Application Timeline

Estimated Dates	Activity
January 15, 2019	Subrecipient Advance Applications Due to Cal OES
February 1, 2019	Anticipated release of NOFO
February 20, 2019	Final Allocations Released
March 2, 2019	Subrecipient revisions to scalable projects due to Cal OES
April 1, 2019	Submission of SAA Application



Questions?



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