2021 NOTICE OF FUNDING OPPORTUNITY UPDATE

The California Governor’s Office of Emergency Services (Cal OES) is pleased to announce an update to the 2021 funding opportunity for FEMA’s Hazard Mitigation Grant Program (HMGP). In addition, Cal OES is announcing a new one-time, state-funded initiative (“Prepare California”) to help socially vulnerable and high hazard risk communities—which are impacted disproportionately by disasters and experience longer and more challenging economic and structural recoveries—jumpstart their progress toward greater resilience through advanced outreach, technical assistance, and funds to subsidize local mitigation and resilience initiatives.

Overall, 2021 FEMA HMGP and Prepare California (PrepareCA) mitigation and resilience funding can be grouped as follows:

1. **PrepareCA JumpStart** – $15 million in state funding dedicated to help jumpstart eligible socially vulnerable and high hazard risk communities in their development and implementation of resilience planning and activities.
2. **FEMA HMGP** – ~$428 million in federal funding for eligible FEMA HMGP activities and projects.
   a. **PrepareCA Match – FEMA HMGP** – $255 million in federal funding for FEMA HMGP activities and projects benefiting eligible socially vulnerable and high hazard risk communities.
      o PrepareCA Match will provide $85 million in state funding to cover the required 25% local cost share (non-federal share).
   b. **2021 FEMA HMGP** – ~$173 million in federal funding for eligible FEMA HMGP activities and projects to communities state-wide.
      o Communities will be responsible for covering the required 25% local cost share (non-federal share).
### Figure 1: Timeline of Funding Opportunities

#### Timeline of 2021 Funding Opportunities

<table>
<thead>
<tr>
<th></th>
<th>PrepareCA JumpStart</th>
<th>PrepareCA Match – FEMA HMGP</th>
<th>2021 FEMA HMGP</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>JANUARY 18, 2022</strong></td>
<td>Update notice of all funding opportunities released</td>
<td>Update notice of all funding opportunities released</td>
<td>Update notice of all funding opportunities released</td>
</tr>
<tr>
<td><strong>FEBRUARY 15, 2022</strong></td>
<td>PrepareCA Match – FEMA HMGP NOIs due to Cal OES</td>
<td>2021 FEMA HMGP NOIs due to Cal OES</td>
<td></td>
</tr>
<tr>
<td><strong>FEBRUARY 28, 2022</strong></td>
<td>PrepareCA JumpStart applications due to Cal OES</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>APRIL 8, 2022</strong></td>
<td>PrepareCA Match – FEMA HMGP subapplications due to Cal OES</td>
<td>2021 FEMA HMGP subapplications due to Cal OES</td>
<td></td>
</tr>
<tr>
<td>April 2022</td>
<td>Cal OES announces PrepareCA JumpStart selections</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>SUMMER 2022</strong></td>
<td></td>
<td>Cal OES announces PrepareCA Match – FEMA HMGP selections</td>
<td></td>
</tr>
<tr>
<td><strong>FALL 2022</strong></td>
<td>PrepareCA Match – FEMA HMGP subapplications are submitted to FEMA</td>
<td>2021 FEMA HMGP subapplications are selected and submitted to FEMA</td>
<td></td>
</tr>
<tr>
<td>Objective</td>
<td>PrepareCA JumpStart</td>
<td>PrepareCA Match – FEMA HMGP</td>
<td>2021 FEMA HMGP</td>
</tr>
<tr>
<td>---</td>
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</tr>
<tr>
<td>Provides technical assistance and state funding to qualified entities to develop local initiatives that directly and primarily benefit eligible socially vulnerable and high hazard risk communities; and create resiliency through capacity building, mitigation, preparedness activities, education, response / recovery planning, and/or future project scoping</td>
<td>Provides local (non-federal share) and federal cost share funding, as well as project scoping / subapplication technical assistance, to qualified entities to develop FEMA HMGP projects and activities that directly and primarily benefit eligible socially vulnerable and high hazard risk communities, and create resiliency through capacity building, mitigation, preparedness activities, education, response / recovery planning, and/or future project scoping</td>
<td>Provides federal cost share funding, as well as project scoping / subapplication technical assistance, to communities state-wide to develop FEMA HMGP projects and activities that directly and primarily benefit eligible socially vulnerable and high hazard risk communities, and reduce risk to loss of life and property from natural hazards</td>
<td></td>
</tr>
<tr>
<td>HMGP-compliant</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Funding agency</td>
<td>Cal OES</td>
<td>FEMA and Cal OES</td>
<td>FEMA and subapplicant</td>
</tr>
<tr>
<td>Funding amount</td>
<td>$15M in state funding</td>
<td>$255M in federal funding (federal share); $85M in total state funding (i.e., non-federal share)</td>
<td>$~173M in federal funding (federal share)</td>
</tr>
<tr>
<td>Apply as</td>
<td>Applicant</td>
<td>Subapplicant</td>
<td>Subapplicant</td>
</tr>
<tr>
<td>Project cost to applicant / subapplicant</td>
<td>Fully funded by Cal OES (i.e., no local cost share for applicant)</td>
<td>25% local cost share (non-federal share) fully funded by Cal OES (i.e., no local cost share for subapplicant)</td>
<td>25% local cost share (non-federal share) funded by subapplicant</td>
</tr>
<tr>
<td>Funding Cap</td>
<td>Applications may not receive more than $1 million in state funds</td>
<td>Project costs cannot exceed the HMGP ceiling ($255M)</td>
<td>Project costs cannot exceed the HMGP ceiling ($173M)</td>
</tr>
<tr>
<td></td>
<td>PrepareCA JumpStart</td>
<td>PrepareCA Match – FEMA HMGP</td>
<td>2021 FEMA HMGP</td>
</tr>
<tr>
<td>--------------------------------</td>
<td>------------------------------------------</td>
<td>---------------------------------------------</td>
<td>---------------</td>
</tr>
<tr>
<td><strong>Period of Performance</strong></td>
<td>60 months</td>
<td>36 months</td>
<td>36 months</td>
</tr>
<tr>
<td><strong>Benefit-Cost Analysis</strong></td>
<td>Not required</td>
<td>Required</td>
<td>Required</td>
</tr>
<tr>
<td><strong>Groundbreaking activity / construction</strong></td>
<td>Not eligible</td>
<td>Yes eligible</td>
<td>Yes eligible</td>
</tr>
<tr>
<td><strong>Procurement</strong></td>
<td>State and local</td>
<td>State, local, and federal</td>
<td>State, local, and federal</td>
</tr>
<tr>
<td><strong>Award</strong></td>
<td>Reimbursement based; advanced funding on case by case basis</td>
<td>Reimbursement based; advanced on funding case by case basis</td>
<td>Reimbursement based</td>
</tr>
<tr>
<td><strong>How to apply</strong></td>
<td>Email application and supporting documentation to <a href="mailto:PrepareCAJumpStart@caloes.ca.gov">PrepareCAJumpStart@caloes.ca.gov</a></td>
<td>Submit notice of interest on Engage Cal OES Portal</td>
<td>Submit notice of interest on Engage Cal OES Portal</td>
</tr>
<tr>
<td><strong>Questions</strong></td>
<td><a href="mailto:PrepareCAJumpStart@caloes.ca.gov">PrepareCAJumpStart@caloes.ca.gov</a></td>
<td><a href="mailto:HMA@caloes.ca.gov">HMA@caloes.ca.gov</a></td>
<td><a href="mailto:HMA@caloes.ca.gov">HMA@caloes.ca.gov</a></td>
</tr>
</tbody>
</table>
PrepareCA JumpStart

PrepareCA JumpStart is a competitive $15 million state grant program that provides technical assistance and state funding to qualified entities to develop local initiatives that directly and primarily benefit eligible socially vulnerable and high hazard risk communities; and create resiliency through capacity building, mitigation, preparedness activities, education, response / recovery planning, and/or future project scoping.

ELIGIBLE APPLICANTS FOR PREPARECA JUMPSTART

Eligible applicants must be a local or Tribal government—including cities, towns, and federally and non-federally recognized tribes—that are considered a socially vulnerable and high hazard risk community according to the Cal OES Hazard Mitigation Assistance Division’s Vulnerability Map. Counties, special government districts, and certain private nonprofits (consistent with 44 CFR §206.221 and 206.434) may also be eligible to receive funding if applications can demonstrate that resilience activities / initiatives directly and primarily benefit a qualified socially vulnerable and high hazard risk community or communities.

PrepareCA JumpStart applicants must have a FEMA-approved-and-adopted local or tribal hazard mitigation plan (LHMP) prior to obligation of funds. Any entity (e.g., county, city, town, special district) which has a FEMA approved-and-adopted LHMP may apply on behalf of an eligible socially vulnerable and high hazard risk community without a hazard mitigation plan. While private nonprofits are not subject to these requirements, the county in which the work will be performed must meet the LHMP requirement. Visit the Cal OES Local Hazard Mitigation Program website and scroll down to view county-specific LHMPs.

PREPARECA JUMPSTART PROGRAM CRITERIA

Resilience Application Criteria

PrepareCA JumpStart applications are limited to $1 million in state funds per application and must demonstrate commitment to resiliency, as well as support capacity building, mitigation, preparedness activities, education, response and recovery planning, and/or future project scoping. Applicants should clearly describe how their initiative fulfills these criteria within their application and

1 The Vulnerability Map shows all census tracts that have a Social Vulnerability Index (SVI) score >.70 AND (either >.70 average in their top four hazards OR >.90 in any one hazard). Hazard exposure is based on Cal OES’ analysis of several datasets related to wildfire, flood, earthquake, drought, and heatwave frequencies. These sources include Pyrologix (wildfire), First Street Foundation (flood), National Risk Index for Natural Hazards (earthquake, drought, and heatwave), and Cal Adapt (wildfire and heatwave). Social vulnerability scores are based on the 2018 CDC Social Vulnerability Index.
identify success metrics. Applications that identify equipment purchases (e.g., generators) or the development of a wildfire protection plan or LHMP will not be considered given that other sources\(^2\) are available for those purposes.

As stated above, counties, special government districts, and eligible private nonprofits will need to demonstrate in their application that resilience activities / initiatives directly and primarily benefit a qualified socially vulnerable and high hazard risk community or communities.

Specifically for eligible private nonprofits, applications will need to identify an engagement plan with the local government / socially vulnerable and high hazard risk community to ensure local capacity building. Additionally, as a supporting document to the application, eligible private nonprofits must also provide a letter of support from the local government / socially vulnerable and high hazard risk community detailing their engagement.

**Local Cost Share (Non-Federal Share)**
PrepareCA JumpStart does not have a local cost share (i.e., non-federal share) requirement, as this program is fully funded by the state.

**Period of Performance**
PrepareCA JumpStart awards have a period of performance of 60 months maximum.

**Benefit-Cost Analysis**
PrepareCA JumpStart does not have a benefit-cost analysis (BCA) requirement.

**Environmental and Historic Preservation**
PrepareCA JumpStart is not intended for projects that would require compliance with California environmental and historic preservation (EHP) laws, executive orders, and regulations. Projects that do require EHP reviews (i.e., groundbreaking activity / construction projects) should be submitted under the PrepareCA Match program or traditional FEMA HMGP.

**Procurement**
Contracts and purchases made with PrepareCA JumpStart funds must comply with all applicable California and local procurement laws, regulations, and policies.

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\(^2\) Wildfire Protection Plans may be funded under [CAL FIRE’s Fire Prevention Grants Program](https://www.fire.ca.gov/). LHMPs may be funded under the PrepareCA Match or FEMA HMGP programs. Generators may be funded under PrepareCA Match or FEMA HMGP programs, however projects that identify generators as a main project activity will be considered less competitive.
Contractors who assist with grant application development will be ineligible to compete for subsequent procurements / contracts on the same grant award due to conflict of interest in which an unfair competitive advantage is present.

PREPARECA JUMPSTART APPLICATION CONSIDERATIONS
The following table provides a list of potential resilience activities or initiatives for eligible applicants to consider. Please note, the list is not exhaustive and applicants may submit an application that is not included below as long as it meets the abovementioned PrepareCA JumpStart program criteria.

Table 2: PrepareCA JumpStart Application Considerations

<table>
<thead>
<tr>
<th>Potential Resilience Activity / Initiative</th>
</tr>
</thead>
<tbody>
<tr>
<td>Capacity Building</td>
</tr>
<tr>
<td>• Community and/or public private partnership for building mitigation</td>
</tr>
<tr>
<td>• Recruitment and hiring of a Chief Resilience Officer</td>
</tr>
<tr>
<td>• Building Inspectors/code enforcement staff training</td>
</tr>
<tr>
<td>• AmeriCorps Programs</td>
</tr>
<tr>
<td>• Community resilience education and workshops such as those related to the <a href="https://www.nist.gov/topics/community-resilience">NIST Community Resilience Program</a>, <a href="https://cityresilienceindex.org">City Resilience Index</a>, <a href="https://www.resilientcities.org">Resilient Cities Network</a>, or similar resilience-building frameworks</td>
</tr>
<tr>
<td>Mitigation / Preparedness Activities / Response and Recovery Planning</td>
</tr>
<tr>
<td>• Evacuation planning</td>
</tr>
<tr>
<td>• Risk Mapping</td>
</tr>
<tr>
<td>• Strengthening building codes (e.g., obtaining a Building Codes Effectiveness Grading Schedule rating)</td>
</tr>
<tr>
<td>• Implementing a Community Emergency Response Team (CERT) program</td>
</tr>
<tr>
<td>• Community education on mitigation/preparedness (e.g., become a fire-wise community, flood preparedness week, the Great California ShakeOut)</td>
</tr>
<tr>
<td>• Establishing a data / fiscal management system to support cost recovery tracking post-disaster</td>
</tr>
<tr>
<td>Project Scoping</td>
</tr>
<tr>
<td>• Scoping for resilience-oriented programs, including FEMA Hazard Mitigation Assistance (HMA) programs and other state and/or federally funded programs such as Listos CA, seismic assessments, home hardening, early alert and warning systems, etc.³</td>
</tr>
</tbody>
</table>

³ Contracting services that expand the community’s capacity to pursue additional state and/or federal funding would be considered an eligible cost to support a scoping activity (e.g., retaining a consultant to prepare subapplications for HMGP funds, hiring an A/E firm to design a future mitigation project). Procurement will need to comply with 2 CFR 200 in order to be eligible.
PREPARECA JUMPSTART APPLICATION SUBMISSION PROCESS
Interested applicants must submit their application to PrepareCAJumpStart@caloes.ca.gov with "PrepareCA JumpStart" in the subject line and in the title of the application (e.g., PrepareCA JumpStart, City of Mitigation, Risk Mapping Project). The application can be found on Cal OES’ 404 Hazard Mitigation Grant Program website.

Cal OES will review all applications for eligibility and fulfillment of the State’s priorities, coordinate on any outstanding requests for information, and notify applicants of their prospective selection for funding.

All applications must be submitted by February 28, 2022. Applications submitted after the posted deadline will be considered if funding remains available.

PREPARECA JUMPSTART AWARD AND GRANT MONITORING
Upon Cal OES award, recipients will submit requests for reimbursement as work is completed. Cal OES requires recipients to submit reimbursement requests quarterly via submission of the quarterly report. Reimbursement requests will be subject to supporting documentation requirements to substantiate costs and validate eligibility against the approved application and scope of work.

Further, recipients may be eligible to request for advance funding. However, advance funding requests will be subject to supporting “Advance Needs” documentation to justify the advance payment. If determined eligible, documentation requirements to substantiate actual costs incurred and validate eligibility against the approved application and scope of work will be required.

Recipients are responsible for managing the day-to-day operations of their grant. Consistent with other mitigation grant programs, recipients are subject to quarterly progress and financial reporting, a closeout process, and site visits as deemed necessary by Cal OES.

PREPARECA JUMPSTART TECHNICAL ASSISTANCE
Cal OES is pleased to provide technical assistance to interested applicants. Our subject matter experts are available to discuss project eligibility, the application process, or other related matters. Please reach out to PrepareCAJumpStart@caloes.ca.gov with questions or to schedule a call.

FEMA Hazard Mitigation Grant Program
FEMA HMGP is a competitive federal grant program that provides funding for communities (hereinafter referred to as "subapplicants") to implement
mitigation activities to reduce risk to loss of life and property from natural hazards. In California, natural hazards include wildfire, earthquake, drought, extreme weather, flooding, and other impacts of climate change.

**PrepareCA Match – FEMA HMGP**

PrepareCA Match – FEMA HMGP (PrepareCA Match) is a competitive state grant program that provides local (non-federal share) and federal cost share funding, as well as project scoping / subapplication technical assistance, to qualified entities to develop FEMA HMGP projects and activities that directly and primarily benefit eligible socially vulnerable and high hazard risk communities, and reduce risk to loss of life and property from natural hazards.

PrepareCA Match has $255 million in available federal funding for eligible FEMA HMGP projects and activities. Cal OES will also provide $85 million in state funding to cover the required 25% local cost share (non-federal share). Projects selected for PrepareCA Match funding will be put forward under FEMA HMGP.

**ELIGIBLE SUBAPPLICANTS FOR PREPARECA MATCH**

Eligible subapplicants must be a local or Tribal government—including cities and federally and non-federally recognized tribes—that are considered a socially vulnerable and high hazard risk community according to the Cal OES Hazard Mitigation Assistance Division’s Vulnerability Map. Counties, special government districts, state agencies, and certain private nonprofits (consistent with 44 CFR §206.221 and 206.434) may also be eligible to receive funding if subapplications can demonstrate that mitigation activities directly and primarily benefit a qualified socially vulnerable and high hazard risk community or communities.

PrepareCA Match subapplicants must have a FEMA-approved and -adopted local or tribal hazard mitigation plan (LHMP) prior to the FEMA Notice of Grant award. Any entity (e.g., county, city, town, special district) which has a FEMA approved-and-adopted LHMP may apply on behalf of an eligible socially vulnerable and high hazard risk community without a hazard mitigation plan. While private nonprofits are not subject to these requirements, the county in which the work will be performed must meet the LHMP requirement. Visit the Cal OES Local Hazard Mitigation Program website and scroll down to view county-

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4 The Vulnerability Map shows all census tracts that have a Social Vulnerability Index (SVI) score >.70 AND (either >.70 average in their top four hazards OR >.90 in any one hazard). Hazard exposure is based on Cal OES’ analysis of several datasets related to wildfire, flood, earthquake, drought, and heatwave frequencies. These sources include Pyrologix (wildfire), First Street Foundation (flood), National Risk Index for Natural Hazards (earthquake, drought, and heatwave), and Cal Adapt (wildfire and heatwave). Social vulnerability scores are based on the 2018 CDC Social Vulnerability Index.

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**2021 Notice of Funding Opportunity Update – Version 2.0**

California Governor’s Office of Emergency Services
specific LHMPs. State agencies meet this requirement through their inclusion in the 2018 California State Hazard Mitigation Plan.

If a jurisdiction does not have an LHMP, they may apply for grant funding to develop one. Single jurisdiction plans are limited to a maximum federal share of $150,000; multi-jurisdiction plans are limited to a maximum federal share of $250,000.

**PREPARECA MATCH PROGRAM CRITERIA**

**Mitigation Subapplication Criteria**
PrepareCA Match subapplications must include a capacity building, partnership building, community engagement, and/or education component, in addition to any mitigation implementation activity.

Additionally, per FEMA’s [Hazard Mitigation Assistance Guidance](https://www.fema.gov/hazard-mitigation-assistance), projects must be eligible, feasible, and cost effective. While projects must be stand-alone activities that will reduce risk as their primary benefit, Cal OES strongly encourages the submission of projects that achieve multiple benefits. Funding can also support planning (i.e., development of LHMPs), planning-related, 5% initiative, and project scoping (Advance Assistance) activities.

**Local Cost Share (Non-Federal Share)**
PrepareCA Match will fund the required local cost share (non-federal share) associated with FEMA HMGP (i.e., 25% of total project costs). Eligible subapplicants will not have to provide any local match to receive state and federal funding.

**Period of Performance**
In accordance with the FEMA HMGP requirements, PrepareCA Match awards have a period of performance of 36 months.

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5 Advance Assistance (AA) provides subapplicants with resources to develop mitigation strategies and obtain data to prioritize, select, and develop complete HMGP subapplications in a timely manner. AA subapplications should end in a non-phased subapplication for a future HMA project with 60% design development and CEQA completed. See [FEMA’s HMA Guidance](https://www.fema.gov/hazard-mitigation-assistance) for further details.
**Benefit-Cost Analysis**
A benefit-cost analysis (BCA) is required for phased⁶ and shovel-ready projects only. BCAs are not needed for planning, planning-related, 5% initiative, and project scoping (i.e., Advance Assistance) activities.

**Environmental and Historic Preservation**
Compliance with all applicable Federal and California environmental and historic preservation (EHP) laws, executive orders, and regulations to assess potential impacts of a proposed project on affected physical, cultural (historic and archaeological), biological, and social resources is a condition of FEMA HMGP funding. Consequently, all HMGP project subapplications must undergo an EHP review as part of FEMA’s eligibility review process prior to award.

**Procurement**
All contracts and purchases must comply with federal procurement guidelines outlined in 2 CFR 200 to be eligible for funding. Additionally, contracts and purchases must also comply with all applicable California and local procurement laws, regulations, and policies.

In accordance with 2 CFR 200, contractors who assist with grant subapplication development will be ineligible to compete for subsequent procurements / contracts on the same grant award due to conflict of interest in which an unfair competitive advantage is present [i.e. future Phase I (design/EHP) and/or Phase II work (construction)].

**PREPARECA MATCH FUNDING PRIORITIES**
Cal OES will select subapplications based on outlined priorities indicated in the table below. Subapplications will be more competitive if they incorporate one or more of the following priorities. Please note, projects that identify eligible equipment purchases (e.g., generators) as a main project activity may be considered less competitive.

<table>
<thead>
<tr>
<th>Potential Project / Activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Local Hazard Mitigation Plans</td>
</tr>
<tr>
<td>Projects that will create or update LHMPs</td>
</tr>
<tr>
<td>2. Partnership Building</td>
</tr>
<tr>
<td>Projects that will build sustainable models of public-private partnerships and/or include in-kind or investment funds from private partners</td>
</tr>
</tbody>
</table>

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⁶ Phased projects are those that receive funding for only certain complex activities that are approved to allow the subapplicant to develop a full work scope / data package to support the full project description. See FEMA’s HMA Guidance for further details.
### Potential Project / Activity

3. Projects that will achieve more **equitable mitigation** and incorporate long-lasting benefits, such as one or more of the following:

- Implement a nature-based solution to reduce risk
- Address climate impacts such as sea level rise, drought, extreme heat, more precipitation, and more intense/frequent storms
- Protect high-impact critical infrastructure
- Implement home hardening and/or large-scale community defensible space projects
- Establish early alert warning systems (wildfire, seismic, etc.)
- Enhance resilience by complimenting previously implemented mitigation actions (previous actions do not need to be funded through Cal OES but should be described in detail) (e.g., community-level defensible space to complement a previous home hardening project)
- Address future conditions such as climate change, demographic changes, population changes, and land use changes
- Advance whole community risk reduction, including protecting individuals with access and functional needs
- Include community engagement and buy in and address how the community has participated in the planning, design, and operations of the initiative

### PREPARECA MATCH NOTICE OF INTEREST AND SUBAPPLICATION SUBMISSION PROCESS

Interested subapplicants must submit a notice of interest (NOI) via the Engage Cal OES Portal and identify PrepareCA Match in the title (e.g., *PrepareCA Match: City of Mitigation, Home Hardening Project*) prior to submitting a subapplication. The NOI is intended to provide an opportunity to propose mitigation actions that reduce risk to life and property from future natural hazards.

PrepareCA Match subapplicants should clearly describe in the NOI how their mitigation action fulfills both the abovementioned program criteria (i.e., includes a capacity building, partnership building, community engagement, and/or education component) and one or more of the funding priorities (as

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7 Subapplicants should include PrepareCA Match-specific criteria in the “Brief Summary Section” of the NOI, including which city(ies) or census designated place(s) their project is directly and primarily benefitting. When prompted to identify a match source, subapplicants should identify PrepareCA Match.
applicable). For counties, special government districts, state agencies, and private nonprofits, NOIs also need to describe how the mitigation action will directly and primarily benefit a specific eligible socially vulnerable and high hazard risk community.

All PrepareCA Match NOIs must be submitted by February 15, 2022. Cal OES will review all NOIs for eligibility and eligible submissions will be invited to submit full grant subapplications. NOIs submitted after the posted deadline may be invited to submit full grant subapplications if funding remains available. If an eligible subapplicant would like to apply for PrepareCA Match and has already submitted an NOI under the Cal OES portal, they should contact Cal OES via email at HMA@caloes.ca.gov.

Eligible PrepareCA Match subapplications that are not initially selected for submission to FEMA will be considered under the traditional FEMA HMGP funding opportunity or retained for future consideration if additional state and/or federal funding is available.

**PREPARECA MATCH AWARD AND GRANT MONITORING**

Upon FEMA award, subrecipients will submit requests for reimbursement as work is completed. Cal OES requires subrecipients to submit reimbursement requests quarterly via submission of the quarterly report. Reimbursement requests will be subject to supporting documentation requirements to substantiate costs and validate eligibility against the approved subapplication and scope of work. Please note, work started prior to FEMA review and approval is ineligible for reimbursement unless pre-award costs were obligated within the approved budget.

Further, subrecipients may be eligible to request for advance funding. However, advance funding requests will be subject to supporting “AdvanceNeeds” documentation to justify the advance payment. If determined eligible, documentation requirements to substantiate actual costs incurred and validate eligibility against the approved application and scope of work will be required. Please note, work started prior to FEMA review and approval is ineligible for advance funding unless pre-award costs were obligated within the approved budget.

Subrecipients are responsible for managing the day-to-day operations of their grant. Consistent with FEMA HMGP, subrecipients are subject to quarterly progress and financial reporting, a closeout process, and site visits as deemed necessary by Cal OES.
PREPARECA MATCH TECHNICAL ASSISTANCE
Cal OES is pleased to provide technical assistance to interested subapplicants. Our subject matter experts are available to discuss project eligibility, the application process, or other related matters. Please reach out to HMA@caloes.ca.gov with questions or to schedule a call.

2021 FEMA HMGP
2021 FEMA HMGP is a competitive FEMA program that provides federal cost share funding, as well as project scoping / subapplication technical assistance, to communities state-wide to develop FEMA HMGP projects and activities that reduce risk to loss of life and property from natural hazards.

2021 FEMA HMGP has ~$173 million in available federal funding for eligible FEMA HMGP projects and activities. Communities will be responsible for covering the required 25% local cost share (non-federal share).

ELIGIBLE SUBAPPLICANTS FOR 2021 FEMA HMGP
Local governments—including cities, counties, special government districts, state agencies, and Tribal governments (federally and non-federally recognized tribes)—are considered eligible subapplicants. Certain private nonprofits may also be eligible (consistent with 44 CFR §206.221 and 206.434).

2021 FEMA HMGP subapplicants must have a FEMA-approved and -adopted local or tribal hazard mitigation plan (LHMP) prior to the FEMA Notice of Grant award. Eligible subapplicants that do not meet the LHMP requirement may have their county or an eligible private-nonprofit apply on their behalf as long as the county meets the LHMP requirement. While private nonprofits are not subject to these requirements, the county in which the work will be performed must meet the LHMP requirement. Visit the Cal OES Local Hazard Mitigation Program website and scroll down to view county-specific LHMPs. Please note, state agencies meet this requirement through their inclusion in the 2018 California State Hazard Mitigation Plan.

If a jurisdiction does not have an LHMP, they may apply for grant funding to develop one. Single jurisdiction plans are limited to a maximum federal share of $150,000; multi-jurisdiction plans are limited to a maximum federal share of $250,000.

2021 FEMA HMGP PROGRAM CRITERIA
Mitigation Subapplication Criteria
Per FEMA’s Hazard Mitigation Assistance Guidance, projects must be eligible, feasible, and cost effective. While projects must be stand-alone activities that
will reduce risk as their primary benefit, Cal OES strongly encourages the submission of projects that achieve multiple benefits. Funding can also support planning (i.e., development of LHMPs), planning-related, 5% initiative, and project scoping (Advance Assistance\(^8\)) activities.

**Local Cost Share (Non-Federal Share)**

A non-federal 25% cost share is required for all subapplications funded under 2021 FEMA HMGP and may consist of cash, donated or third-party in-kind services, materials, or any combination thereof.

**Period of Performance**

In accordance with the FEMA HMGP requirements, PrepareCA Match awards have a period of performance of 36 months.

**Benefit-Cost Analysis**

A benefit-cost analysis (BCA) is required for phased\(^9\) and shovel-ready projects only. BCAs are not needed for planning, planning-related, 5% initiative, and project scoping (i.e., Advance Assistance) activities.

**Environmental and Historic Preservation**

Compliance with all applicable Federal and California environmental and historic preservation (EHP) laws, executive orders, and regulations to assess potential impacts of a proposed project on affected physical, cultural (historic and archaeological), biological, and social resources is a condition of FEMA HMGP funding. Consequently, all HMGP project subapplications must undergo an EHP review as part of FEMA’s eligibility review process prior to award.

**Procurement**

All contracts and purchases must comply with federal procurement guidelines outlined in 2 CFR 200 to be eligible for funding. Additionally, contracts and purchases must also comply with all applicable California and local procurement laws, regulations, and policies.

In accordance with 2 CFR 200, contractors who assist with grant subapplication development will be ineligible to compete for subsequent procurements /

\(^8\) Advance Assistance (AA) provides subapplicants with resources to develop mitigation strategies and obtain data to prioritize, select, and develop complete HMGP subapplications in a timely manner. AA subapplications should end in a non-phased subapplication for a future HMA project with 60% design development and CEQA completed. See FEMA’s HMA Guidance for further details.

\(^9\) Phased projects are those that receive funding for only certain complex activities that are approved to allow the subapplicant to develop a full work scope / data package to support the full project description. See FEMA’s HMA Guidance for further details.
contracts on the same grant award due to conflict of interest in which an unfair competitive advantage is present [i.e. future Phase I (design/EHP) and/or Phase II work (construction)].

**2021 FEMA HMGP FUNDING PRIORITIES**
Cal OES will select projects for funding based on outlined priorities indicated in the table below. Subapplicants should clearly describe how their project will fulfill one or more of these priorities within their notice of interest and project subapplication scope of work. Please note, projects that identify eligible equipment purchases (e.g., generators) as a main project activity may be considered less competitive.

**Table 4: State Funding Priorities for 2021 FEMA HMGP**

<table>
<thead>
<tr>
<th></th>
<th>Potential Project / Activity</th>
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<tbody>
<tr>
<td>1. Local Hazard Mitigation Plans</td>
<td>Projects that will create or update LHMPs</td>
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</table>
| 2. Large Projects (>\$10M) | Projects should achieve one or more of the following:  
• Protect a disadvantaged community  
• Implement a nature-based solution to reduce risk and/or advance climate adaption goals  
• Advance whole community risk reduction  
• Protect high-impact critical infrastructure  
• Implement home hardening and/or large-scale community defensible space projects  
• Establish early alert warning systems  
• Enhance resilience by complimenting previously implemented mitigation actions (previous actions do not need to be funded through Cal OES but should be described in detail) |
| 3. Small Projects (<\$10M) | Projects should achieve one or more of the following:  
• Protect a disadvantaged community  
• Implement a nature-based solution to reduce risk and/or advance climate adaption goals  
• Advance whole community risk reduction  
• Protect high-impact critical infrastructure  
• Implement home hardening and/or large-scale community defensible space projects  
• Establish early alert warning systems  
• Enhance resilience by complimenting previously implemented mitigation actions (previous actions do not need to be funded through Cal OES but should be described in detail) |
### 4. All Other Eligible Projects

Potential Project / Activity

Other activities to reduce risk to loss of life and property from natural hazards, including planning-related, 5% initiative, and project scoping (Advance Assistance) activities

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**2021 FEMA HMGP NOTICE OF INTEREST AND SUBAPPLICATION SUBMISSION PROCESS**

Interested subapplicants must submit a notice of interest (NOI) via the Engage Cal OES Portal prior to submitting a subapplication. The NOI is intended to provide an opportunity to propose mitigation actions that reduce risk to life and property from future natural hazards. FEMA HMGP subapplicants should clearly describe in the NOI how their project fulfills Cal OES’ funding priorities.

All FEMA HMGP NOIs must be submitted by February 8, 2022. Cal OES will review all NOIs for eligibility and eligible submissions will be invited to submit full grant subapplications. NOIs submitted after the posted deadline may be invited to submit full grant subapplications if funding remains available.

Eligible FEMA HMGP subapplications that are not initially selected for submission to FEMA will be retained for future consideration if additional federal funding is available.

**2021 FEMA HMGP AWARD AND GRANT MONITORING**

Upon FEMA award, subrecipients will submit requests for reimbursement as work is completed. Cal OES requires subrecipients to submit reimbursement requests quarterly via submission of the quarterly report. Reimbursement requests will be subject to supporting documentation requirements to substantiate costs and validate eligibility against the approved subapplication and scope of work. Please note, work started prior to FEMA review and approval is ineligible for funding unless pre-award costs were obligated within the approved budget.

Subrecipients are responsible for managing the day-to-day operations of their grant. Subrecipients are subject to quarterly progress and financial reporting, a closeout process, and site visits as deemed necessary by Cal OES.

**2021 FEMA HMGP TECHNICAL ASSISTANCE**

Cal OES is pleased to provide technical assistance to interested subapplicants. Our subject matter experts are available to discuss project eligibility, the application process, or other related matters. Please reach out to HMA@caloes.ca.gov with questions or to schedule a call.