



## REQUEST FOR PROPOSAL

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The California Governor's Office of Emergency Services (Cal OES), Grants Management Section, is soliciting proposals for the following program:

### **COMMUNITY POWER RESILIENCY ALLOCATION TO TRIBES PROGRAM**

Release Date: October 9, 2020

This Request for Proposal (RFP) provides detailed information and forms necessary to prepare a proposal for the Cal OES grant funds.

### **PROGRAM SYNOPSIS**

#### **Program Description**

The purpose of the Community Power Resiliency Allocation to Tribes Program is to support California federally recognized tribes with additional preparedness measures in response to power outage events.

#### **Eligibility:**

California federally recognized tribes are the only eligible Applicants.

#### **Performance Period:**

July 1, 2020 – October 31, 2021

#### **Available Funding:**

Individual Applicants may request up to \$150,000.

#### **Submission Deadline:**

Friday, October 30, 2020



# COMMUNITY POWER RESILIENCY ALLOCATION TO TRIBES PROGRAM

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# COMMUNITY POWER RESILIENCY ALLOCATION TO TRIBES PROGRAM

## PART I – OVERVIEW

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- A. PUBLIC RECORDS ACT NOTICE
  - B. CONTACT INFORMATION
  - C. SUBMISSION DEADLINES AND OPTIONS
  - D. ELIGIBILITY/PREFERENCE POINTS
  - E. GRANT SUBAWARD PERFORMANCE PERIOD
  - F. FUNDS
  - G. PROGRAM INFORMATION
- 

### A. PUBLIC RECORDS ACT NOTICE

Grant proposals are subject to the California Public Records Act, Government Code Section 6250, *et seq.* Do not put any personally identifiable information or private information on this proposal. If you believe that any of the information you are putting on this proposal is exempt from the Public Records Act, please indicate what portions of the proposal and the basis for the exemption. Your statement that the information is not subject to the Public Records Act will not guarantee that the information will not be disclosed.

### B. CONTACT INFORMATION

Questions concerning this RFP, the proposal process, or programmatic issues must be submitted in writing by email to [PSPS@caloes.ca.gov](mailto:PSPS@caloes.ca.gov).

Cal OES staff cannot assist the Applicant with the actual preparation of their proposal. Cal OES can only respond to technical questions about the RFP during the period of time between the publication date and due date.

### C. SUBMISSION DEADLINE AND OPTIONS

One proposal must be emailed to [PSPS@caloes.ca.gov](mailto:PSPS@caloes.ca.gov) by 5:00 PM on Friday, October 30, 2020.

### D. ELIGIBILITY/PREFERENCE POINTS

To be eligible to receive funds, the entity must be a California federally recognized tribe.

California federally recognized tribes who received an award from the fiscal year 2019-20 Public Safety Power Shutdown funding are eligible to apply for the 2020-21 Community Power Resiliency funding.

Preference points will be given to Applicants who did not receive Public Safety Power Shutdown funding in fiscal year 2019-20. Please see Rating Sheet.

Priority funding will be given to eligible Applicants if the proposal shows either a benefit to disadvantaged communities or the use of/acquisition of low/no emissions power solutions. "Disadvantaged communities" includes, but is not limited to:

- Areas disproportionately affected by environmental pollution and other hazards that can lead to negative public health effects, exposure, or environmental degradation.
- Areas with concentrations of people that are of low-income, high unemployment, low levels of home ownership, high rent burden, sensitive populations, or low levels of educational attainment.

Only one proposal per Applicant will be eligible to receive funding. If an Applicant submits more than one proposal, only the highest scoring proposal, meeting the criteria above, will be considered for funding.

E. GRANT SUBAWARD PERFORMANCE PERIOD

The Grant Subaward performance period is July 1, 2020 – October 31, 2021.

F. FUNDS

1. Source/Amount of Funds

There is \$2,500,000 of State General Fund available for the Program for the Grant Subaward performance period. Individual Applicants may apply for up to \$150,000 for the 16-month Grant Subaward performance period.

2. Allowable Use of Funds

Funds may be used to procure fixed, long term emergency electrical generation equipment, develop continuity plans, conduct risk assessments for critical infrastructure, create post event reports and

public education materials, or purchase supplies to prepare for electric disruption.

3. Unallowable Use of Funds

Funds may not be used:

- To secure, compensate, or backfill professional services contracts, or
- For response costs associated with electric disruption events, including any staffing or new positions, Emergency Operations Center staffing, security, law or fire response, or other overtime charges.

G. PROGRAM INFORMATION

1. Program Description

The purpose of the Community Power Resiliency Allocation to Tribes Program is to support California federally recognized tribes in preparing for and responding to power outage events.

California federally recognized tribes are encouraged to collaborate with their county to support critical infrastructure and resiliency with a particular focus on public safety, vulnerable communities, and individuals with access and functional needs.

2. Eligible Activities

a. Equipment

Funds may be used for the procurement of:

- Generators and generator connections for essential facilities, with an emphasis on clean energy and green solutions, where possible, or other alternative backup sources.
- Generator fuel and fuel storage.
- Redundant emergency communications (e.g., battery-powered radios).
- Portable vehicle-mounted charging stations.
- Portable battery-powered and rechargeable radio repeater and transmission equipment.

b. Plans

Funds may be used for the development/update of:

- Continuity plans.
- Contingency plans for electrical disruptions that include considerations such as protecting individuals with access and functional needs, medical baseline and socially vulnerable populations, transportation, emergency public information, and preservation of essential functions.
- Risk assessments for critical infrastructure and lifelines.
- Post-event reports that identify lessons learned and corrective actions.

c. Public Education

Funds may be used to:

- Develop public education materials.
- Purchase supplies focused on individual and family preparedness for electric disruptions.

d. One-Time Costs

Funds may be used for one-time costs associated with identifying and equipping resource centers for the public to access during electric disruptions.

3. Reporting Requirements

Progress Reports serve as a record for the implementation of the project. Statistics for Progress Reports must be collected on a quarterly basis, even when reporting occurs less frequently.

California federally recognized tribes are required to report the following for each project or activity supported with grant funds:

- Description of each project or activity;

- The state or local entity that implemented the project or activity;
- Amount of state funding provided to the project or activity; and
- Specific outcomes achieved by each project or activity, including whether the project or activity was completed, and whether it was used during power outage events.

**One Progress Report is due no later than November 30, 2021.** A Progress Report form will be provided by Cal OES.

4. Disbursement of Funds

Requested funds, if awarded, will be advanced once all required documentation has been completed and received by Cal OES.

# COMMUNITY POWER RESILIENCY ALLOCATION TO TRIBES PROGRAM

## PART II – RFP INSTRUCTIONS

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- A. FORMS
  - B. PROPOSAL COMPONENTS
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### A. FORMS

The Applicant must use the forms provided on our website. The forms must be printed on plain white 8½" x 11" paper and single sided. **Applicants may not alter the formatting of any forms, including the Project and Budget Narratives. No tables, charts, or changes to the margins are allowed.**

### B. PROPOSAL COMPONENTS

Applicants must complete and submit all required components. Specific information for each component is included next. The Checklist in Part V is included to ensure Applicants submit all [required components](#).

#### 1. Grant Subaward Face Sheet (Cal OES 2-101)

The Grant Subaward Face Sheet is the title page of the Grant Subaward that is signed by the Subrecipient and the Cal OES Director (or designee). Instructions are included on the form.

#### 2. Project Contact Information (Cal OES 2-102)

The Project Contact Information form provides Cal OES will all relevant Subrecipient personnel. Information for each individual should be direct contact information. Instructions are included on the form.

#### 3. Signature Authorization (Cal OES 2-103)

The Signature Authorization form provides Cal OES with signatures of authorized signers and who they authorize to sign on their behalf for all grant-related matters. Instructions are included on the form.

#### 4. Certification of Assurance of Compliance (Cal OES 2-104)

Cal OES is required by law to obtain written certifications of compliance. The Certification of Assurance of Compliance form is a



binding affirmation that the Subrecipient will comply with the following regulations and restrictions:

- State and federal civil rights laws
  - Drug Free Workplace
  - National Environmental Protection Act
  - Lobbying restrictions
  - Debarment and Suspension requirements
  - Proof of Authority documentation from the City Council/Governing Board
5. Budget Narrative (Cal OES 2-107) – Maximum 1 page

The Budget Narrative should describe the project's proposed budget supports the objectives and activities.

6. Project Narrative (Cal OES 2-108)

The Project Narrative is the main body of information describing the problem to be addressed, the plan to address the identified problem through appropriate and achievable objectives and activities, and the ability of the Applicant to implement the proposed plan.

- a. Program Plan – Maximum 2 Pages

In narrative form, address the following:

- 1) The number of people and type of population of the federally recognized tribe applying for these funds.
- 2) The amount of disaster reserve funds compared to the total federally recognized tribe budget.
- 3) The number of hours spent in a power outage event from July 1, 2019, to present.
- 4) How the Applicant will use the funds to prepare for and respond to power outage events. Include amount being requested not to exceed \$150,000.

b. Emergency Plan – Maximum 1 Page

In narrative form, address the following:

- 1) The portion of your local government emergency plan that includes power outages, whether resulting from Power Safety Power Shutdown events or for any other reason, or
- 2) An attestation that power outages, whether resulting from Power Safety Power Shutdown events or for any other reason, will be included the next time your local government revises any portion of the emergency plan.

c. Priority Funding – Maximum 1 Page

In narrative form, address how the Program shows either a benefit to disadvantaged communities or the use of/acquisition of low/no emissions power solutions.

“Disadvantaged communities” includes, but is not limited to:

- Areas disproportionately affected by environmental pollution and other hazards that can lead to negative public health effects, exposure, or environmental degradation.
- Areas with concentrations of people that are of low-income, high unemployment, low levels of home ownership, high rent burden, sensitive populations, or low levels of educational attainment.

d. Preference Points

Preference points will automatically be given to Applicants who did not receive fiscal year 2019-20 Public Safety Power Shutdown funding.

# COMMUNITY POWER RESILIENCY ALLOCATION TO TRIBES PROGRAM

## PART III – SELECTION AND FINALIZING THE GRANT SUBAWARD

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- A. SELECTION OF PROPOSAL FOR FUNDING
  - B. FINALIZING THE GRANT SUBAWARD
- 

### A. SELECTION OF PROPOSAL FOR FUNDING

#### 1. Proposal Rating

Eligible proposals received by the deadline are generally evaluated by a three member team. The rater scores are averaged and then ranked numerically. Proposals are only evaluated numerically; no notes are taken during the evaluation. The Rating Sheet used for this process is included in Part IV of this RFP and is for informational purposes only.

#### 2. Funding Decision

Final funding decisions are made by the Director of Cal OES. Funding decisions are based on the following:

- The ranked score of the proposal
- Consideration of priorities or geographical distribution specific to this RFP
- Prior negative administrative and programmatic performance, if applicable

Projects previously funded by Cal OES will be reviewed for poor past compliance, including fiscal management, progress and annual reports, audit reports, and other relevant documentation or information. This review may result in one or more of the following actions:

- The project may not be selected for funding
- The amount of funding may be reduced
- Grant Subaward Conditions may be placed in the Grant Subaward

#### 3. Notification Process

The Authorized Signer (designated on the Grant Subaward Face Sheet) will be notified in writing, via electronic communication, of the results of

the rating process. Applicants not selected for funding will receive a denial letter containing their average score and information on the appeal process.

The Project Narrative (Cal OES 2-107), or Budget Narrative (Cal OES 2-108) for the top-ranked proposal may be posted on the Cal OES website.

**B. FINALIZING THE GRANT SUBAWARD**

**1. Grant Subaward Application**

Once selected for funding, Cal OES may require additional documentation to finalize the Grant Subaward Application package. A Program Specialist can provide technical assistance in completing these components.

**2. Grant Subaward**

**a. Grant Subaward Amounts**

Cal OES reserves the right to negotiate budgetary changes with the Applicant prior to executing the Grant Subaward. If this action is required, Cal OES will notify the Applicant prior to executing the Grant Subaward.

**3. Standard Project Funding Authority**

Cal OES employees are not able to authorize an Applicant to incur expenses or financial obligations prior to the execution of a Grant Subaward.

If, during the term of the Grant Subaward, the state funds appropriated for the purposes of the Grant Subaward are reduced or eliminated by the California Legislature, Cal OES may immediately terminate or reduce the Grant Subaward by written notice to the Subrecipient.

Cal OES Grant Subawards are subject to applicable restrictions, limitations, or conditions enacted by the California Legislature subsequent to the execution of the Grant Subaward.

# COMMUNITY POWER RESILIENCY ALLOCATION TO TRIBES PROGRAM

## PART IV – RATING SHEET

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Control # \_\_\_\_\_

Rater # \_\_\_\_\_

Applicant \_\_\_\_\_

Funds Requested: \_\_\_\_\_

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<u>CATEGORY</u>	<u>TOTAL POINTS POSSIBLE</u>
1. PROGRAM PLAN	<u>80</u>
2. EMERGENCY PLAN	<u>40</u>
3. PRIORITY FUNDING	<u>12</u>
4. PREFERENCE POINTS	<u>15</u>
5. BUDGET NARRATIVE	<u>12</u>
6. COMPREHENSIVE ASSESSMENT	<u>12</u>
<b>TOTAL</b>	<b><u>171</u></b>

Each of the above categories contain questions assigned a point value. The point scale is divided into five columns labeled **I, II, III, IV, and V**. The Applicant's response to each question is evaluated on the following criteria:

- I. ABSENT:** The response does not address the specific question or a response was not provided.
- II. UNSATISFACTORY:** The response does not completely address the question. The information presented does not provide a good understanding of Applicant's intent, does not give the detailed information requested by the RFP, and/or does not adequately support the proposal or the intent of the Program.
- III. SATISFACTORY:** The response addresses the question and provides a good understanding of the Applicant's intent. The response adequately supports the proposal and the intent of the Program.
- IV. ABOVE AVERAGE:** The response is above average and provides a clear and detailed understanding of the Applicant's intent. The response presents a persuasive argument that supports the proposal and the intent of the Program.
- V. EXCELLENT:** The response is outstanding, with clear, detailed and relevant information. The response presents a compelling argument that supports the proposal and the intent of the Program.

<b>PROJECT NARRATIVE</b>	<b>I</b>	<b>II</b>	<b>III</b>	<b>IV</b>	<b>V</b>
<b>a. Program Plan</b> (Maximum 2 pages) How well does the proposal:	<b>0</b>	<b>5</b>	<b>10</b>	<b>15</b>	<b>20</b>
1) Describe the number of people and type of population of the federally recognized tribe applying for these funds?					
2) Describe the amount of disaster reserve funds compared to the total federally recognized tribe budget?					
3) Describe the number of hours spent in a power outage event since July 1, 2019, to present?					
4) Describe how the Applicant will use the funds to prepare for and respond to power outage events? Did it include amount being requested not to exceed \$150,000?					
<b>b. Emergency Plan</b> (Maximum 1 page) How well does the proposal:	<b>0</b>	<b>10</b>	<b>20</b>	<b>30</b>	<b>40</b>
Describe the portion of the emergency plan that includes power outages, whether resulting from power outage events or for any other reason, or an attestation that power outages, whether resulting from Public Safety Power Shutdown events or for any other reason, will be included the next time their local government revises any portion of the emergency plan?					
<b>c. Priority Funding</b> (Maximum 1 page) How well does the proposal:	<b>0</b>	<b>3</b>	<b>6</b>	<b>9</b>	<b>12</b>
Show either a benefit to disadvantaged communities or the use of/acquisition of low/no emissions power solutions?					
<b>d. Preference Points</b>					<b>15</b>
The Applicant was not funded in FY 2019-20.					
<b>BUDGET NARRATIVE</b> (Maximum 1 page)	<b>0</b>	<b>3</b>	<b>6</b>	<b>9</b>	<b>12</b>
How well does the proposed Budget Narrative support the objectives and activities?					
<b>COMPREHENSIVE ASSESSMENT</b>	<b>0</b>	<b>3</b>	<b>6</b>	<b>9</b>	<b>12</b>

<b>PROJECT NARRATIVE</b>	I	II	III	IV	V
How well does this proposal support the overall intent, goals, and purpose of the Program?					

# COMMUNITY POWER RESILIENCY ALLOCATION TO TRIBES PROGRAM

## PART V – CHECKLIST

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This checklist is provided to ensure that a complete application is submitted to Cal OES.

- GRANT SUBAWARD FACE SHEET (Cal OES 2-101) – Signed by the official authorized to enter into the Grant Subaward.
- PROJECT CONTACT INFORMATION (Cal OES 2-102)
- SIGNATURE AUTHORIZATION (Cal OES 2-103)
- CERTIFICATION OF ASSURANCE OF COMPLIANCE (Cal OES 2-104) – Signed by the official who signed the Grant Subaward Face Sheet and by the official delegating that authority.
- BUDGET NARRATIVE ([Cal OES 2-107](#))
- PROJECT NARRATIVE ([Cal OES 2-108](#))
  - PROGRAM PLAN
  - EMERGENCY PLAN
  - PRIORITY FUNDING